



### Investor and Analyst Update on LIBERVANT<sup>™</sup>

December 9, 2019

Advancing medicines. Solving problems. Improving lives.

### **C** Forward Looking Statement

Certain statements in this presentation and associated oral statements made by management may constitute "forward-looking statements" within the meaning of the Private Securities Litigation Reform Act of 1995. Words such as "believe," "anticipate," "plan," "expect," "estimate," "intend," "may," "will," or the negative of those terms, and similar expressions, are intended to identify forward-looking statements. These forward-looking statements may include, but are not limited to, statements about our growth and future financial and operating results and financial position, ability to advance Libervant to the market, regulatory approvals and pathways, clinical trial timing and plans, short-term and long-term liquidity and cash requirements, cash funding and cash burn, business strategies, market opportunities, and other statements that are not historical facts.

These forward-looking statements are based on our current expectations and beliefs and are subject to a number of risks and uncertainties that could cause actual results to differ materially from those described in the forward-looking statements. Such risks and uncertainties include, but are not limited to, risks associated with the Company's development work, including any delays or changes to the timing, cost and success of our product development activities and clinical trials; the risks of delays in FDA approval of our drug candidates or failure to receive approval; the risks inherent in commercializing a new product (including technology risks, financial risks, market risks and implementation risks and regulatory limitations); risk of development of our sales and marketing capabilities; risk of legal costs associated with and the outcome of our patent litigation challenging third party at risk generic sale of our proprietary products; risk of sufficient capital and cash resources, including access to available debt and equity financing and revenues from operations, to satisfy all of our short-term and longer term cash requirements and other cash needs, at the times and in the amounts needed; risk of failure to satisfy all financial and other debt covenants and of any default; risk related to government claims against Indivior for which we license, manufacture and sell Suboxone and which accounts for the substantial part of our current operating revenues; risks related to the outsourcing of certain sales, marketing and other operational and staff functions to third parties; risk of the rate and degree of market acceptance of our products and product candidates: the success of any competing products, including generics; risk of the size and growth of our product markets; risk of the effectiveness and safety of our products and product candidates; risk of compliance with all FDA and other governmental and customer requirements for our manufacturing facilities; risks associated with intellectual property rights and infringement claims relating to the Company's products; risk of unexpected patent developments; the impact of existing and future legislation and regulatory provisions on product exclusivity; legislation or regulatory action affecting pharmaceutical product pricing, reimbursement or access; claims and concerns that may arise regarding the safety or efficacy of the Company's products and product candidates; risk of loss of significant customers; risks related to legal proceedings, including patent infringement, investigative and antitrust litigation matters; changes in governmental laws and regulations; risk of product recalls and withdrawals; uncertainties related to general economic, political, business, industry, regulatory and market conditions and other unusual items; and other risks and uncertainties affecting the Company including those described in the "Risk Factors" section and in other sections included in the Company's Annual Report on Form 10-K filed with the SEC on March 14, 2019 and in our guarterly reports on Form 10-Q.

Given these uncertainties, you should not place undue reliance on these forward-looking statements, which speak only as of the date made. All subsequent forward-looking statements attributable to us or any person acting on our behalf are expressly qualified in their entirety by this cautionary statement. The Company assumes no obligation to update forward-looking statements or outlook or guidance after the date of this press release whether as a result of new information, future events or otherwise, except as may be required by applicable law.

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- Commercial-stage, specialty pharmaceutical company with comprehensive capabilities to advance medicines from pipeline to market
- Advancing a late-stage pipeline that features promising treatments for patients and caregivers living with complex conditions, including hard to manage epilepsies and anaphylaxis
- Completed rolling submission of New Drug Application (NDA) to U.S. Food and Drug Administration (FDA) for Libervant<sup>™</sup> (diazepam) Buccal Film for management of seizure clusters on November 27
- Requested an accelerated review, which is not guaranteed but, if granted, on track to potentially launch in early July\*
- If assigned a traditional review, on track to potentially launch in early November\*



\*Subject to and assuming FDA approval obtained in this time period, which cannot be assured.





### **Program Overview**

Gary Slatko, MD Chief Medical Officer

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Торіс	Time	Speaker	
Opening Remarks	4:00 pm	Keith Kendall	
Program Overview		Gary Slatko, MD	
Treatment Landscape for Epilepsy Rescue: The Unmet Need	4:10 pm	Lawrence J. Hirsch, MD	
LIBERVANT Clinical Development Program: Key Studies and Findings			
<ul> <li>Early-Phase Program</li> <li>Healthy Volunteer Studies With Diazepam Buccal Film (DBF)</li> <li>Development of a Weight-Based Dosing Regimen</li> <li>Pharmacokinetics of DBF in Adult Patients Dosed Under Interictal and Ictal/Periictal Conditions</li> </ul>	4:25 pm	Allen H. Heller, MD, MPH	
<b>Demonstrating Comparability:</b> Pharmacokinetics of Diazepam Buccal Film in Adult Patients With Epilepsy: Comparison With Diazepam Rectal Gel	4:40 pm	Michael A. Rogawski, MD, PhD	
<b>Outpatient Administration:</b> Safety and Tolerability Associated With Chronic Intermittent Use of Diazepam Buccal Film in Pediatric, Adolescent, and Adult Patients With Epilepsy		Syndi Seinfeld, DO, MS	
Panel Discussion/Q&A	5:10 pm	Moderator: Dr. Slatko Panelists: Drs. Hirsch, Heller, Rogawski, Seinfeld	
Closing Remarks	5:30 pm	Keith Kendall	



### LIBERVANT (diazepam buccal film; DBF) Development: Overview

- Key challenges: comparability, safety, and usability
- Robust clinical development program
  - Healthy volunteer studies
  - Patient studies
    - In-clinic single dose
    - Outpatient chronic, repeated use
- Key findings
  - Favorable pharmacology
  - Validated weight-based dosing regimen
  - Comparable diazepam exposure to reference listed drug
  - Expected diazepam safety profile without local safety issues
  - Readily administered by patients and caregivers
- Clinical sections of NDA filed November 27, 2019
  - Meets FDA timelines and expectations



# **C** Today's Program



### Dr. Hirsch

- How many patients
- Treatments available
- What patients need
- New options

### Dr. Heller

- Healthy subject studies
- Dose proportionality
- Weight-based regimen
- Bioavailability
- Reliability of DBF

### Dr. Rogawski

- Comparable PK
- Validation of regimen
- Time to effect
  - Consistent, less variable
  - High-fat meal findings

### Dr. Seinfeld

- Outpatient intermittent use
- Low rate related TEAEs
- High success first try
- Patient self-administer 23%
- Local AEs rare
- No administration injuries

### All

- Discussion
- Practice implications
- Questions



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### Treatment Landscape for Epilepsy Rescue: The Unmet Need

Lawrence J. Hirsch, MD Professor of Neurology Yale University New Haven, Connecticut

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# C Definitions

- Clinically and in the literature, the most commonly used definitions for *cluster seizures* are:
  - 2-3 seizures occurring within 24 hours
  - 2 seizures occurring within 6 hours
- Statistical definitions (prevalence usually lower with these)
  - 3-4 times the patient's usual seizure rate
  - Differing from a Poisson distribution
- Virtually all humans and almost all rodents have nonrandomly distributed seizures; that is, everyone clusters to a degree



### Prevalence of Cluster Seizures (2-3 seizures within 6-24 hours; not necessarily distinguishable from habitual seizures)

Best estimate after a literature review and our prospective trial<sup>1</sup>

- Patients with refractory epilepsy (ongoing seizures at any rate)
  - 40% will have a cluster seizure in a given year
- 3.2 million patients with epilepsy in the United States
  - 13% (~425,000) will have a cluster seizure in a given year
  - Seizure cluster patients in 2 recent studies experienced an average of 10.7 and 12.7 cluster episodes per year<sup>1,2</sup>
- Main risk factors for having a cluster seizure
  - Frequent seizures
  - Prior cluster seizures or status epilepticus



### Consequences of Cluster Seizures, From a Review<sup>1</sup> of Retrospective Studies

- Higher risk of status epilepticus, emergency department (ED) visits, injury, loss of work/study, lower quality of life (QOL) for patient and caregiver, and possibly higher mortality if cluster seizures occur while on treatment<sup>2</sup>
- Postictal psychosis



### Prospective Study of Rescue Medication Use for Cluster Seizures<sup>1</sup>

### Rescue medication use

- Only 28% of patients with <u>active</u> epilepsy had a rescue medication <u>prescribed</u>
  - Including only 15% of those in the intermediate-risk group, even though 30% went on to have cluster seizures
- During the year-long study follow-up, only 11% of patients actually <u>used</u> a rescue medication. Of these:
  - 74% used oral lorazepam
  - 14% used intranasal midazolam
  - 2% used rectal diazepam

Not a labeled indication Off label use of iv in a spray Indicated for this use

• Rescue medications were used in 3% of 6-hour cluster cases



# Why Are Rescue Medications So Underused?

- Per the literature
  - No seizure action plan in use
  - Poor physician-patient communication
  - Concerns about limited route(s) of administration
- For adults
  - Lack of an approved nonrectal option (until late 2019)
  - No one who can administer rescue medication



## **C** Rescue Therapies Currently Available in the United States

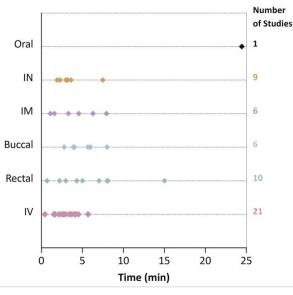
- Rectal diazepam (Diastat): only approved option until late 2019
- Oral lorazepam (Ativan); clonazepam, including clonazepam ODT (orally disintegrating tablets); similar medications (but slow onset)
- Nasal midazolam: approved and recently introduced
- Non-medicine
  - Vagus nerve stimulator magnet swipe
  - Deep brain stimulator (extra stimulation)



# Systematic Review of Benzodiazepines for Seizure Emergencies<sup>1</sup>

- Broader inclusion, 75 studies
- Conclusions: for out-of-hospital use, buccal, IN, and IM are all comparable and better options than rectal or IV







IM, intramuscular; IN, intranasal; IV, intravenous. 1. Haut SR, et al. *Epilepsy Behav*. 2016;63:109-17.

### **C** Improving Treatments for Cluster Seizures

- Although benzodiazepines are considered the treatment of choice for terminating cluster seizures,<sup>1,2</sup> currently available drug formulations are suboptimal in terms of:
  - Onset of action
  - Dosing accuracy
  - Portability
  - Ease of administration
  - Route of administration<sup>1,3,4</sup>
- Ideal properties of a pharmacologic agent for treatment of cluster seizures<sup>4</sup>
  - Effective against a variety of seizure types
  - Quickly absorbed with rapid onset of action
  - Predictable and consistent interpatient bioavailability
  - Easily prepared and administered by anyone
  - Sustained duration of action
  - Minimal side effects

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### **New Treatment Advances to the Rescue!**

Company	UCB	Aquestive	Neurelis	Engage Ther.	Xeris Pharma	Epalex Corp.	Crossject
Product	Nayzilam	LIBERVANT	Valtoco (NRL-1)	STAP-001 <sup>1</sup>	XeriJect Diazepam	EP-103	Zeneo Midazolam²
Generic	Midazolam	Diazepam	Diazepam	Alprazolam	Diazepam	Propofol	Midazolam
Administration	Intranasal	Bucccl	Intranasal	Inhaled	Injection	Intranasal	Transdermal
Phase	Approved	Filed	Filed	Phase 2	Phase 1	Preclinical	Preclinical
Orphan Designation	October 2009	November 2016	November 2015	NA	May 2016	August 2016	February 2018
Patent Expiration	Unknown	2030+	January 2035	December 2022	December 2023	Unknown	Unknown



Sources: EvaluatePharma, accessed February 2019; BioMedTracker, accessed February 2019; US FDA, accessed February 2019.

### Monitoring Devices: A Reasonable Precaution<sup>1-6</sup>

- Supervision leads to decreased risk of sudden unexpected death in epilepsy (SUDEP)<sup>7</sup>
- Devices can help with early intervention when a convulsive seizure occurs
- Having a caretaker present during or immediately after a seizure is an advantage
- Device technology is quickly evolving and will play a major role in the treatment of epilepsy





Ryvlin P, et al. *Epilepsia*. 2018;59(suppl 1):61-6. 2. Zhao X, Lhatoo SD. *Curr Neurol Neurosci Rep*. 2018;18(7):40; 3. Jory C, et al. *Seizure*. 2016;36:4-15;
 van Andel J, et al. *Epilepsy Behav*. 2016;57(pt A):82-9; 5. Ulate-Campos A, et al. *Seizure*. 2016;40:88-101; 6. Van de Vel A, et al. *Seizure*. 2016;41:141-53;
 Nashef L, et al. *Epilepsia*. 1997;38(11 suppl):S1-2.

# Conclusions

- Cluster seizures are common, especially in patients with frequent seizures, and are potentially harmful
- Rescue medication is effective but greatly underused
- Treating early is beneficial
- Most patients should have a clear seizure action plan, preferably in writing and reviewed regularly
- Many better options for treating cluster seizures or prolonged seizures are just now becoming available
  And not just rectal!
- Combining seizure alarms and rescue therapies can help prevent injuries, status epilepticus, ED visits, and possibly sudden death







- Healthy Volunteer Studies With Diazepam Buccal Film (DBF)
- Development of a Weight-Based Dosing Regimen
- Pharmacokinetics of DBF in Adult Patients Dosed Under Interictal and Ictal/Periictal Conditions

Allen H. Heller, MD, MPH

Founder and CEO Pharma Study Design, LLC

Clinical Professor of Preventive Medicine Keck School of Medicine University of Southern California Los Angeles, California

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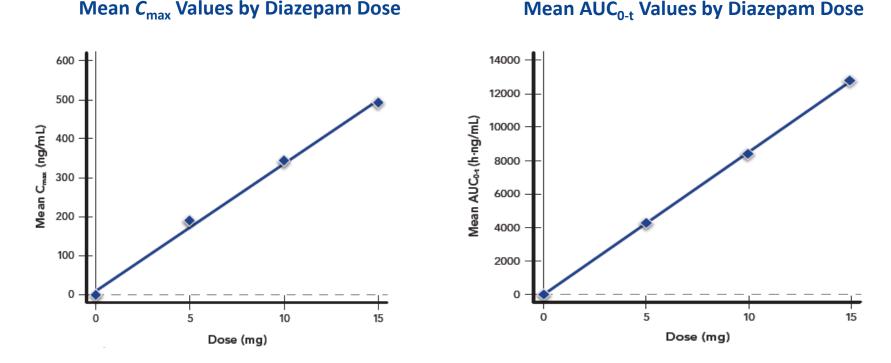
# **C** Healthy Volunteer Studies

Aquestive conducted a series of phase 1 studies using diazepam buccal film (DBF) and diazepam rectal gel (DRG) in healthy adults

- DBF was **dose-proportional**—maximum plasma concentration ( $C_{max}$ ) increased in proportion to the dose—whereas DRG was less than dose-proportional for  $C_{max}$
- DBF showed higher bioavailability than DRG (more of the dose was absorbed)
- DBF (given its oral administration) showed a **food effect** (reduced C<sub>max</sub> after a fatty meal) but no change in the amount absorbed
- DBF absorption was more reliable than DRG absorption



### OBF Exhibits Dose-Proportional Pharmacokinetics in Healthy Adults



From Heller AH, et al. Presented at: Annual Meeting of the American Academy of Neurology; April 21-28, 2018; Los Angeles, CA; Neurology. 2018;90(15 suppl):P4.272.

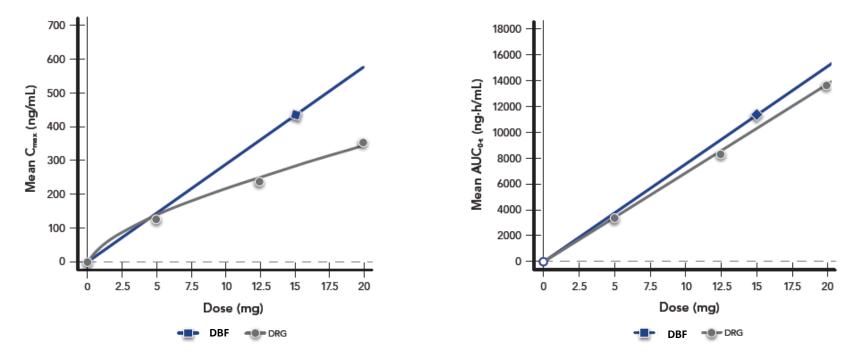
 $AUC_{0-t}$ , area under the plasma concentration time curve from time zero until last measurable concentration;  $C_{max}$ , maximal plasma concentration; DBF, diazepam buccal film.



# **ORG** Does Not Exhibit Dose-Proportional Pharmacokinetics



Mean AUC<sub>0-t</sub> Values by Diazepam Dose



From Heller AH, et al. Presented at: Annual Meeting of the American Academy of Neurology; April 21-28, 2018; Los Angeles, CA; Neurology. 2018;90(15 suppl):P4.273.

 $AUC_{0+t}$  area under the plasma concentration time curve from time zero until last measurable concentration;  $C_{max}$  maximal plasma concentration; DBF, diazepam buccal film; DRG, diazepam rectal gel.



### **C** Proposed Weight-Based Dosing Regimen for DBF

- The weight-based dosing regimen for DBF was modeled to account for differences between DBF and DRG (linear pharmacokinetics and food effect associated with oral dosing)
- The proposed dosing regimen for DBF is designed such that, after a moderate-fat meal, the  $C_{\max}$  of diazepam from DBF is comparable to that from DRG

Weight Category (kg)	DBF (mg)	DRG (Diastat) (mg)
38-50	10.0	10.0
51-62	12.5	12.5
63-75	15.0	15.0
76-87	15.0	17.5
≥88	17.5	20.0



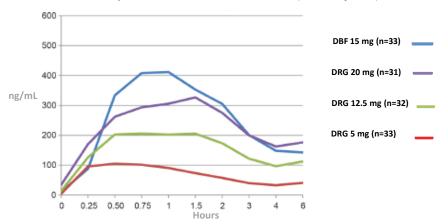
### **C** More Reliable Absorption With DBF Than With DRG

• DBF produces peak plasma levels similar to DRG using lower doses

Subject 1

1.5 2

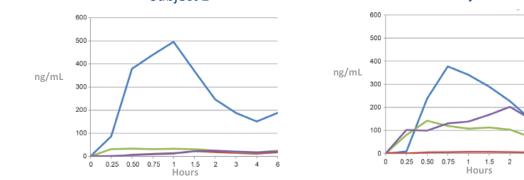
 In a comparative PK study in healthy adults,<sup>1</sup> 3 subjects exhibited extremely low diazepam concentrations after one or more doses of DRG, whereas all subjects exhibited expected concentrations after DBF<sup>2</sup>



Mean Diazepam Plasma Concentration (All Subjects)



Subject 3



DBF, diazepam buccal film; DRG, diazepam rectal gel.

0.25 0.50 0.75

500

400

300

200

100

0

ng/mL

25

1. Heller AH, et al. Presented at: Annual Meeting of the American Academy of Neurology; April 21-28, 2018; Los Angeles, CA; Neurology. 2018;90(15 suppl):P4.273.

2. Heller AH, et al. Presented at: Annual Meeting of the American College of Clinical Pharmacology; September 15-17, 2019; Chicago, IL; Clin Pharmacol Drug Dev. 2019;8(S1):3.



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### Pharmacokinetics of DBF in Adult Patients Under Interictal and Ictal/Periictal Conditions in Epilepsy Monitoring Unit

- An in-clinic study of patients with epilepsy compared diazepam exposure after a fixed 12.5-mg dose of DBF under an interictal condition (not during seizure) and an ictal/periictal condition (during or shortly after seizure)<sup>1</sup>
- The 21 subjects who received both treatments, per protocol, had comparable diazepam exposure ( $C_{\rm max}$ ) and AUC<sub>0-4h</sub> between the two conditions—indicating seizure activity did not affect diazepam exposure from DBF<sup>1</sup>
- A more recent study<sup>2</sup> used simulation to predict diazepam exposure based on the earlier study<sup>1</sup> if DBF were administered according to the proposed weight-based dosing regimen

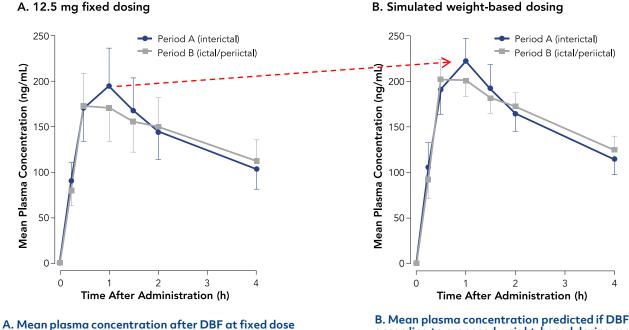
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#### Mean Plasma Diazepam Concentration After Administration of DBF Under Interictal and Ictal/Periictal Conditions: Fixed Dose vs Weight-Based Dosing (Simulated)<sup>1</sup>



of 12.5 mg

B. Mean plasma concentration predicted if DBF administered according to proposed weight-based dosing regimen

Predicted plasma concentrations based on data from 21 patients with valid profiles for both interictal and ictal/periictal conditions. Each time point is mean of concentration data from 16-21 patients. Error bars indicate standard error of mean.



# Conclusions: DBF Pharmacokinetics and Weight-Based Dosing Simulation

- DBF demonstrates a superior PK profile: linearity, bioavailability, reliability
- A weight-based dosing regimen was modeled from healthy volunteer studies adjusting for fed conditions; testing in adults with epilepsy confirmed that diazepam exposure is not affected by seizure activity
- The simulated weight-based dosing regimen (average dose, 14.9 mg/kg) predicts geometric mean  $C_{\max}$  values greater than 200 ng/mL under both interictal and ictal/periictal conditions, well within the range generally considered therapeutic for diazepam antiepileptic activity
- The predicted geometric mean C<sub>max</sub> values in this simulation are consistent with values found in a later single-dose PK study<sup>1</sup> in which patients were dosed using the DBF weight-based regimen that was simulated here



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# PK of DBF in Adult Patients With Epilepsy: Comparison With DRG

#### **Study Design and Patients**

- Randomized, multicenter, single-dose, open-label, 2-treatment, 2-sequence crossover study (NCT03953820)
- Adult patients on a stable regimen of antiseizure drugs randomized to receive a single dose of DBF and a single dose of DRG in crossover fashion
- DRG: Dosed according to the FDA-approved weightbased regimen; DBF: dosed according to the weightbased regimen
- Treatments administered after a moderate-fat meal; 28-day washout. A subset of patients (n=10) was also administered DBF after an optional high-fat meal (exploratory analysis)

Weight-Based Dosing				
Weight (kg) DBF Dose DRG Dose <sup>1</sup>				
38-50	10 mg	10 mg (2 mL)		
51-62	12.5 mg	12.5 mg (2.5 mL)		
63-75	15 mg	15 mg (3 mL)		
76-87	15 mg	17.5 mg (3.5 mL)		
≥88	17.5 mg	20 mg (4 mL)		

#### **Study Assessments**

- Key PK parameters of interest included:
  - C<sub>max</sub> (maximal plasma concentration)
  - $T_{max}$  (time to  $C_{max}$ )
  - AUC<sub>0-t</sub> (area under concentration-time curve from time zero to last nonzero concentration)
  - AUC<sub>0-inf</sub> (AUC from time zero extrapolated to infinity)
- Adverse events were monitored throughout the study



DBF, diazepam buccal film; DRG, diazepam rectal gel; FDA, US Food and Drug Administration; PK, pharmacokinetics. 1. Diastat C-IV (diazepam rectal gel) [package insert]. San Antonio, TX: DPT Laboratories; 2016.





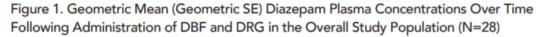
#### Pharmacokinetics of Diazepam Buccal Film in Adult Patients With Epilepsy: Comparison With Diazepam Rectal Gel

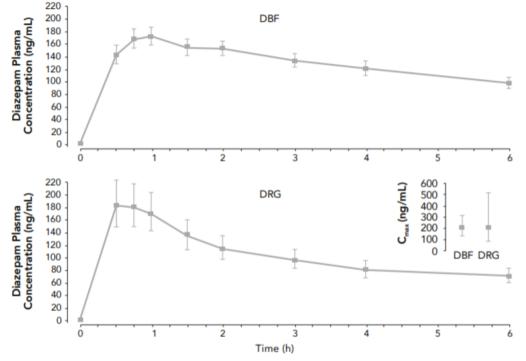
Michael A. Rogawski, MD, PhD Professor of Neurology and Pharmacology School of Medicine, University of California Davis Davis, California

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# **C** Key Findings

- Geometric mean C<sub>max</sub> values after DBF were comparable
- C<sub>max</sub> values for DBF significantly less variable than for DRG (P<0.0001)</li>





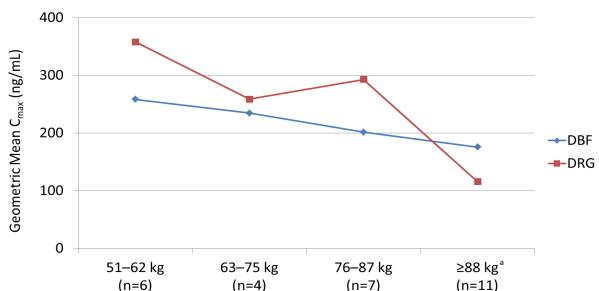
Values graphed are geometric mean (geometric SE) plasma concentrations. Inset shows geometric mean (geometric SD) C<sub>max</sub> values.

C<sub>max</sub> maximum observed plasma drug concentration; DBF, diazepam buccal film; DRG, diazepam rectal gel; SD, standard deviation; SE, standard error.





- Geometric mean  $C_{\max}$  values were less variable with DBF than with DRG across patient weight categories



Geometric Mean C<sub>max</sub> Stratified by Weight Groups (N=28)



 $C_{\rm max}$  maximal plasma concentration; DBF, diazepam buccal film; DRG, diazepam rectal gel. <code>^alncludes 4</code> patients with weight 112-124.5 kg.

# **C** Key Findings

### PK Parameters After Administration of DBF and DRG (N=28)

Parameter	DBF	DRG	Ratio of Geometric Means, DBF/DRG (%)ª	90% CI (%) for Ratio <sup>b</sup>
C <sub>max</sub> (ng/mL), geometric mean	204.26	211.22	96.70	70.53, 132.58
AUC <sub>0-t</sub> (ng•h/mL), geometric mean <sup>c</sup>	7290.40	5682.09	128.31	95.93, 171.61
AUC <sub>0-inf</sub> (ng•h/mL), geometric mean <sup>c</sup>	8672.09	6880.96	126.03	103.67, 153.21
T <sub>max</sub> (h), median	1.0	0.517 <sup>d</sup>	NA	NA

<sup>a</sup>Calculated using least-square means according to formula e<sup>(Difference)</sup> x 100. <sup>b</sup>90% geometric CI using In-transformed data. <sup>c</sup>N=27. <sup>d</sup>P<0.05 vs DBF.

- \*  $AUC_{0-t}$  and  $AUC_{0-inf}$  values were higher for DBF than DRG
- \* The longer  $T_{max}$  for DBF vs DRG was reached earlier than the  $T_{max}$  of 1.5 hours reported in the DRG product labeling
- After moderate-fat meal, 3/28 subjects after DRG, but not after DBF, did not achieve plasma concentration ≥70 ng/mL
- For DBF after high-fat meal, geometric mean C<sub>max</sub> (174 ng/mL) and DBF/DRG ratio (82.5%) reflect similar exposure level to DRG\*

 $AUC_{0-tr}$  area under the plasma concentration time curve from time zero until last measurable concentration;  $AUC_{0-infr}$  AUC from time zero extrapolated to infinity;  $C_{max}$ , maximal plasma concentration; CI, confidence interval; DBF, diazepam buccal film; DRG, diazepam rectal gel; PK, pharmacokinetics; SD, standard deviation;  $T_{max}$ , time to  $C_{max}$ .





- For patients with epilepsy after a moderate-fat meal, a single dose of DBF provides comparable overall exposure to diazepam to DRG with significantly less variability than DRG when each is administered according to its respective weight-based dosing regimen
  - These results confirm pharmacometric modeling and validate the proposed weight-based dosing regimen for DBF
- Unlike DRG, the geometric mean values for C<sub>max</sub> after DBF was less variable and consistently ≥150 ng/mL for each of the weight categories; and there were no low responders to DBF after a moderate-fat meal
- The results support the use of DBF as an easily administered alternative to DRG for patients with epilepsy who experience seizure emergencies despite antiseizure medications



 $C_{\rm max}$ , maximal plasma concentration; DBF, diazepam buccal film; DRG, diazepam rectal gel.





Safety and Tolerability Associated With Chronic Intermittent Use of Diazepam Buccal Film in Pediatric, Adolescent, and Adult Patients With Epilepsy

Syndi Seinfeld, DO, MS Director of Epilepsy Joe DiMaggio Children's Hospital Hollywood, Florida

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# **C** Introduction and Objective

- Cluster seizures and other bouts of more frequent or more severe seizures occur in many patients with epilepsy despite treatment with antiepileptic medications, and can lead to injury, hospitalization, status epilepticus, and risk of death<sup>1-3</sup>
- Available treatment options for these episodes are suboptimal, particularly in terms of speed of onset of action and ease of administration<sup>1,4,5</sup>
- Currently, diazepam rectal gel and midazolam nasal spray are the only treatments approved by the FDA for patients with refractory epilepsy having bouts of increased seizure activity<sup>6,7</sup>
- **Objective:** The primary objective of this ongoing study (NCT03428360) is to assess the safety and tolerability of outpatient self- or caregiver-administered DBF in people with epilepsy
  - The usability of DBF is being assessed as a secondary objective

DBF, diazepam buccal film; FDA, US Food and Drug Administration.

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1. Penovich PE, et al. *Neurologist*. 2017;22:207-14; 2. Jafarpour S, et al. *Seizure*. 2019;68:9-15; 3. Sillanpää M, Schmidt D. *Brain*. 2008;131(pt 4):938-44;

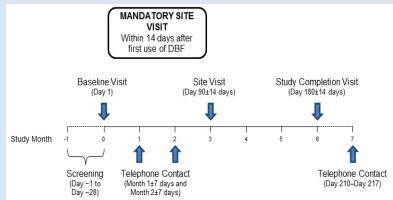
4. Tatum IW. *Epilepsy Behav*. 2002;3:535-8; 5. Cereghino JJ. *Curr Treat Options Neurol*. 2007;9:249-55; 6. Diastat C-IV (diazepam rectal gel) [package insert]. San Antonio, TX: DPT Laboratories; 2016; 7. Nayzilam [package insert]. Smyrna, GA: UCB, Inc.; 2019.



# C Methods

#### **Study Design and Patients**

- Ongoing, phase 3, multicenter, open-label, long-term safety and tolerability study
  - Interim data from patients receiving ≥1 dose of DBF as of May 2019 are reported here



• Eligible patients include males and females between ages 2 and 65 years, inclusive, with established diagnosis of epilepsy who were deemed in need of benzodiazepine treatment for bouts of increased seizures at least once monthly on average

#### Administration and Dosing

- Study participants were instructed to administer DBF for treatment of seizure episodes occurring in their home environments in which a benzodiazepine would ordinarily be administered for seizure rescue
- DBF was dispensed at weight- and age-based doses ranging from 5 to 17.5 mg, which could be adjusted with aging or change in body weight during the study
- DBF could be administered for up to 5 seizure episodes per month; administration of a second dose was permitted within 4 to 12 hours after the first dose

#### **Outcomes of Interest**

- Adverse events
  - Occurring during study or up to 30 days after last study drug administration or until all drugrelated toxicities are resolved, whichever is later
- DBF administration and usability
  - Assessed by patients and/or caregivers, recorded after each use of study drug, including successful placement/buccal insertion of DBF, oral cavity retention of DBF, and ability to open packaging and remove DBF



DBF, diazepam buccal film.



- As of May 31, 2019, 72 patients had enrolled, used DBF at least once
- Mean (SD) duration on study at interim analysis cutoff date was 192.0 (97.0) days
- DBF dose administered
  - Mean (SD): 8.7 (10.1) mg
  - Median (range): 5 (1-49) mg

Characteristic	Adults (n=59)	Adolescents (n=7)	Pediatric (n=6)
Age, mean (SD), y	31.9 (10.5)	14.1 (1.5)	7.5 (2.7)
Female, n (%)	27 (45.8)	6 (85.7)	2 (33.3)
Race, n (%)			
White	45 (76.3)	4 (57.1)	4 (66.7)
Black	4 (6.8)	2 (28.6)	1 (16.7)
Other	10 (16.9)	1 (1.4)	1 (1.7)
Ethnicity, Hispanic or Latino, n (%)	12 (20.3)	2 (28.6)	3 (50.0)
BMI, mean (SD), kg/m²	26.0 (6.4)	22.1 (4.5)	16.1 (3.0)
Duration of epilepsy, mean (SD), y	20.2 (11.7)ª	12.3 (4.2) <sup>b</sup>	6.6 (3.0) <sup>c</sup>

<sup>a</sup>n=44; <sup>b</sup>n=4; <sup>c</sup>n=5.



# **C** Results (cont'd)

### Overall, 34 patients (47.2%) have reported 90 AEs

- 7 treatment-related AEs occurred in 5 (6.9%) patients; all were mild in severity and resolved
- 13 serious AEs were reported by 9 (12.5%) patients; none were considered treatment-related
- No patient discontinued study participation because of an AE
- Local buccal discomfort was reported by 1 adult and resolved within 1 day
- There were no reports of injury during buccal placement of DBF

AEs Reported in >2% of Overall Population		Treatment-Related AEs				
AEα	<b>Overall Population</b>	Treatment-Related AE <sup>a,b</sup>	Pediatric	Adolescent	Adult	Total
	(N=72)		(n=6)	(n=7)	(n=59)	(N=72)
Seizure	4 (5.6), 6		Num	ber (%) of Patier	nts, Number of	Events
Pyrexia	4 (5.6), 4	Somnolence	0	0	, 1 (1.7), 1	
Dizziness	3 (4.2), 3	Somnoience	0	0	1(1.7), 1	1 (1.4), 1
Nausea	3 (4.2), 3	Lethargy	0	0	1 (1.7), 1	1 (1.4), 1
Somnolence	3 (4.2), 3	Altered consciousness	0	0	1 (1.7), 1	1 (1.4), 1
Weight decreased	3 (4.2), 3	Mouth swelling	0	1 (14.3), 1	0	1 (1.4), 1
Cough	2 (2.8), 2	Oral discomfort	0	0	1 (1.7), 1	1 (1.4), 1
Dehydration	2 (2.8), 2	Gait disturbance	0	0	1 (1.7), 1	1 (1.4), 1
Gastroenteritis viral	2 (2.8), 2	Skin sensitization	0	0	1 (1.7), 1	1 (1.4), 1
Lethargy	2 (2.8), 2	<sup>o</sup> Defined as AE categorized as having "possible" or "probable" relationship to study drug. <sup>b</sup> All treatment-related AEs were mild in severity.				
Vomiting	2 (2.8), 2					

°AW/æightpinceeaseamber (%) of patient2, (218) er2of events.



# **C** Medication Administration

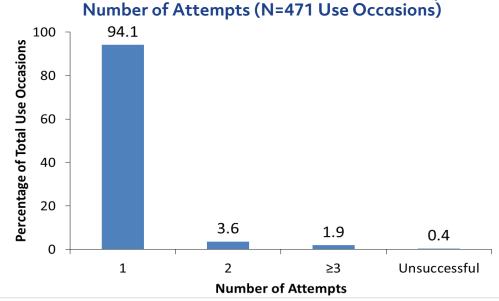
- Of the 471 DBF exposures:
  - At least 107 (22.7%) were self-administered by the patient
  - The majority (308 or 65.4%) occurred within 5 minutes of a seizure
  - There were no administration-related injuries in this study or over the almost 1000 exposures in the DBF program
- 8 patients were exposed to a second DBF dose on 14 use occasions within the recommended 4-12 hours after the first DBF exposure
  - There were no instances of adverse events of interest





DBF usability data were reported by 64 patients

- Mean (SD) administrations per patient: 7.4 (7.7)
- All patients had first-attempt success at DBF administration on at least one use occasion
- Almost all patients successfully administered DBF on first or second attempt (97.7%)



Success Rates for DBF Insertion Stratified by



DBF, diazepam buccal film; SD, standard deviation.

# **C** Results (cont'd)

- There were no cases of unsuccessful placement owing to swallowing of DBF before it adhered to buccal mucosa (Table)
- Patients and caregivers reported no difficulty opening the packaging in the majority of use occasions
- Patients and caregivers reported no difficulty removing DBF from inner packaging in nearly all (96.6%) use occasions

Reasons for Unsuccessful Insertion Attempts	Unsuccessful Attempts Based on			
	471 Use Occasions, n (%) <sup>a</sup>			
Clenching jaw/won't open mouth	10 (2.1)			
Excessive drooling	9 (1.9)			
Spit out before DBF adhered to buccal mucosa	7 (1.5)			
Clenching jaw/won't open mouth/excessive drooling	1 (0.2)			
Other	8 (1.7)			

#### **Overview of Reported Reasons for Unsuccessful Placement of DBF**

<sup>a</sup>Respondents could choose more than 1 reason for unsuccessful insertion attempt; 35 reasons were given for 28 unsuccessful attempts.



DBF, diazepam buccal film.



- In this observational study of chronic intermittent administration and use, DBF was found to be safe and well tolerated by pediatric, adolescent, and adult patients with epilepsy experiencing seizure emergencies
- Treatment-related AEs, including somnolence, were relatively uncommon after DBF use, and all treatment-related AEs were mild in severity
- Patients were able to self-administer DBF; administration near time of seizure was common; there were no administration-related injuries, and local AEs were rare
- DBF ultimately was successfully placed on nearly all (99.6%) use occasions and readily used without difficulty when administered by patients and caregivers
- These results support the further development and use of DBF as an easily administered alternative to diazepam rectal gel for patients with epilepsy who experience cluster seizures and other bouts of more frequent or more severe seizures despite treatment with antiepileptic medications







### Panel Discussion/Q&A

Gary Slatko, MD Lawrence J. Hirsch, MD Allen H. Heller, MD, MPH Michael A. Rogawski, MD, PhD Syndi Seinfeld, DO, MS

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- What would a medication like this—that's easy to carry and could be quickly administered—mean for patients with epilepsy and caregivers?
- How does this buccal formulation compare with nasal formulations?
  - Our data show a strong preference for oral formulations—what do your patients say?
- How could new seizure detection devices affect use of these rescue medications?







### **Closing Remarks**

Keith Kendall President and Chief Executive Officer

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